



Dr. Roman Huber

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Roman Huber's practice focuses on Dispute Resolution, with a particular focus on international and cross border commercial law disputes as well as white-collar criminal law and regulatory matters.

Roman Huber specialises in complex domestic and international litigation and arbitration proceedings, with a particular focus on international and cross border matters. He also represents private and corporate clients in white-collar criminal law matters and administrative and regulatory litigation, in particular enforcement and other regulatory proceedings. He has extensive experience in international and cross-border disputes, in particular in contract, corporate and banking disputes and advises clients in various contractual matters as well as in corporate and compliance matters. Roman is further experienced in conducting internal investigations relating to white collar crime and regulatory issues.

Roman Huber has an in-depth knowledge of the financial services industry, based on his prior experience at a major Swiss Bank and at the Enforcement Division of the Swiss Financial Market Supervisory Authority (FINMA). In parallel to his work at Kellerhals Carrard, he is a lecturer at the University of St.Gallen (HSG).

Expertise

Employment and Social Security Law



White-collar crime, mutual legal assistance, internal investigations, crisis management, and compliance



IT Security and Cybercrime



Dispute Resolution and Litigation



Arbitration



Career

- As of 2025: Partner, Kellerhals Carrard, Zurich
 - 2022-2024: Counsel, Kellerhals Carrard, Zurich
 - 2021-2022: Head Compliance Investigations Switzerland, Credit Suisse
 - 2019-2021
Deputy Head International Cooperation, Enforcement Division, FINMA
 - 2019
Counsel, LALIVE, Zürich
 - 2013-2018
Senior associate Bär & Karrer, Zurich
 - 2015-2016
Secondment Group Legal, Novartis International AG, Basel
 - 2008-2011
Associate Bär & Karrer, Zurich
 - 2007-2008
Research and teaching assistant to Prof. Dr. Dominique Jakob, Chair for Private Law, University of Zurich
 - 2006-2007
Trainee program
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Memberships

- Ethics & Compliance Switzerland (ECS)
 - Swiss Bar Association (SAV/FSA)
 - Swiss Arbitration Association (ASA)
 - International Bar Association (IBA)
 - Zurich Bar Association (ZAV)
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Education

- 2021
Diploma of Advanced Studies (DAS) in Management for the Legal Profession, University of St. Gallen (HSG)
 - 2020
Certificate of Advanced Studies (CAS) in Financial Market Law, University of Zurich
 - 2013
Dr. iur., University of Zurich
 - 2012
LL.M., University of New South Wales (Sydney)
 - 2008
Admitted to the Bar
 - 2006
Master of Law (MLaw), University of Lucerne
 - 2005
Bachelor of Law (BLaw), University of Lucerne
 - 2004
Erasmus-Sokrates Program, University of Regensburg
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Other Activities

- Lecturer at the University of St.Gallen (HSG)
 - Co-Chair Working Group Investigations, Ethics & Compliance Switzerland (ECS)
 - Regular publications and appearances at specialist events in the fields of dispute resolution, investigations and compliance
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Languages

German, English, French

Publications

White Collar Crime and Regulatory Investigations	Dr. Roman Huber	2025
Keine Anwendung strafprozessualer Regeln bei internen Untersuchungen des Arbeitgebers, Besprechung von Bger, 4A_368/2023 AJP/PJA 5/2024, 504 ff.	Dr. Roman Huber	2024
Anti-Corruption 2024	Dr. Florian Baumann Lea Ruckstuhl Dr. Roman Huber Cristina Ess	2024
The Rise of ESG Litigation and ESG Regulatory Investigations, in: NICG Magazin "Board Dynamics" 2024/1, S. 16 ff.	Dr. Roman Huber	2024
ICLG: Business Crime Laws and Regulations Switzerland 2024	Dr. Florian Baumann Dr. Roman Huber Cristina Ess	2024
ICLG: Business Crime Laws and Regulations Switzerland 2023 (Co-Autor mit Claudia Götz Staehelin, Florian Baumann, Marlen Schultze)	Dr. Roman Huber	2023
Das Verweigerungsrecht im Zusammenhang mit der Tätigkeit eines unternehmensinternen Rechtsdienstes im Zivilprozess (Art. 167a nZPO) (Co-Autor: Stefanie Rigaux)	Dr. Roman Huber	2023
Interessenkonflikte im Fokus von internen Untersuchungen - Herausforderungen in der Praxis und "Lessons Learned" (Co-Autor mit Claudia Götz Staehelin)	Dr. Roman Huber	2023
ICLG: Corporate Investigations Laws and Regulations Switzerland 2023 (Co-Autor mit Claudia Götz Staehelin, Florian Baumann, Marlen Schultze)	Dr. Roman Huber	2023
ICLG: Corporate Investigations Laws and Regulations Switzerland 2023 Autors: Claudia Götz Staehelin, Florian Baumann, Marlen Schultze, Roman Huber	Dr. Florian Baumann Dr. Roman Huber	2023

Interessenskonflikte bei Compliance Untersuchungen - Erfahrungen aus der Unternehmenspraxis	Dr. Roman Huber	2023
Das Anwaltsgeheimnis bei internen Untersuchungen	Dr. Roman Huber	2022
Interne Untersuchungen und Anwaltsgeheimnis	Dr. Roman Huber	2020
Amtshilfe nach Art. 42 f. FINMAG – Unter Berücksichtigung des DSGVO und Entwicklungen in der Steueramtshilfe (Co-Autor mit Dominik Leimgruber)	Dr. Roman Huber	2020
Revised PILA: setting the ground for trust arbitration in Switzerland? (Co-Autor mit Werner Jahnel)	Dr. Roman Huber	2019
Interne Untersuchungen und Anwaltsgeheimnis – Entwicklungen und Eckpunkte einer "Best Practice" für Unternehmen	Dr. Roman Huber	2019
Grenzüberschreitende Kooperation: Stolpersteine, Fallstricke und Lösungen	Dr. Roman Huber	2018
Finanzkriminalität – Wie intern sind interne Untersuchungsberichte? (Co-Autor mit Andreas D. Länzlinger)	Dr. Roman Huber	2017
BGer 4A_141/2017: Sachliche Zuständigkeit des Handelsgerichts bei konnexer Widerklage und Rücktrittsfragen bei gescheitertem IT-Projekt	Dr. Roman Huber	2017
Strafrechtliche Verantwortung des Unternehmens und Geschäftsherrenhaftung aus Sicht des Compliance Officers	Dr. Roman Huber	2017
Korruptions-Strafrecht – Die Verschärfung im Juli setzt Unternehmen unter Zugzwang (Co-Autor mit Andreas D. Länzlinger)	Dr. Roman Huber	2016
Switzerland: Private sector bribery (Co-Autor mit Andreas D. Länzlinger und Beda Kaufmann)	Dr. Roman Huber	2016
Trust Arbitration in Switzerland, in: Arbitration of Internal Trust Disputes: Issues in National and International Law (Co-Autor mit Tina Wüstemann)	Dr. Roman Huber	2016

Gerichtsstands- und Schiedsgerichtswahl in trustrechtlichen Angelegenheiten – Unter besonderer Berücksichtigung der schweizerischen Rechtslage	Dr. Roman Huber	2013
Rechtliche Rahmenbedingungen für NPO in der Schweiz (Co-Autor mit Prof. Dr. Dominique Jakob)	Dr. Roman Huber	2010
Non Profit Law in Switzerland – Working Papers of the Johns Hopkins Comparative Nonprofit Sector Project (Co-Autor mit Prof. Dr. Dominique Jakob und Katharina Rauber)	Dr. Roman Huber	2009