

Dr. Roman Huber

Attorney at Law, LL.M., Partner

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Roman Huber's practice focuses on Dispute Resolution, with a particular focus on international and cross border commercial law disputes as well as white-collar criminal law and regulatory matters.

Roman Huber specialises in complex domestic and international litigation and arbitration proceedings, with a particular focus on international and cross border matters. He also represents private and corporate clients in white-collar criminal law matters and administrative and regulatory litigation, in particular enforcement and other regulatory proceedings. He has extensive experience in international and cross-border disputes, in particular in contract, corporate and banking disputes and advises clients in various contractual matters as well as in corporate and compliance matters. Roman is further experienced in conducting internal investigations relating to white collar crime and regulatory issues.

Roman Huber has an in-depth knowledge of the financial services industry, based on his prior experience at a major Swiss Bank and at the Enforcement Division of the Swiss Financial Market Supervisory Authority (FINMA). In parallel to his work at Kellerhals Carrard, he is a lecturer at the University of St.Gallen (HSG).

Expertise

Employment and Social Security Law

White-collar crime, mutual legal assistance, internal investigations, crisis management, and compliance

IT Security and Cybercrime

Dispute Resolution and Litigation

Arbitration

Career

- As of 2025: Partner, Kellerhals Carrard, Zurich
- 2022-2024: Counsel, Kellerhals Carrard, Zurich
- 2021–2022: Head Compliance Investigations Switzerland, Credit Suisse
- 2019–2021
  Deputy Head International Cooperation, Enforcement Division, FINMA
- 2019
  Counsel, LALIVE, Zürich
- 2013–2018
  Senior associate Bär & Karrer, Zurich
- 2015–2016
  Secondment Group Legal, Novartis International AG, Basel
- 2008–2011
  Associate Bär & Karrer, Zurich
- 2007–2008
  Research and teaching assistant to Prof. Dr. Dominique Jakob, Chair for Private Law, University of Zurich
- 2006-2007
  Trainee program

Memberships

- Ethics & Compliance Switzerland (ECS)
- Swiss Bar Association (SAV/FSA)
- Swiss Arbitration Association (ASA)
- International Bar Association (IBA)
- Zurich Bar Association (ZAV)

Education

- 2021
  Diploma of Advanced Studies (DAS) in Management for the Legal Profession, University of St. Gallen (HSG)
- 2020
  Certificate of Advanced Studies (CAS) in Financial Market Law, University of Zurich
- 2013
  Dr. iur., University of Zurich
- 2012
  LL.M., University of New South Wales (Sydney)
- 2008
  Admitted to the Bar
- 2006
  Master of Law (MLaw), University of Lucerne
- 2005
  Bachelor of Law (BLaw), University of Lucerne
- 2004
  Erasmus-Sokrates Program, University of Regensburg

Other Activities

- Lecturer at the University of St.Gallen (HSG)
- Co-Chair Working Group Investigations, Ethics & Compliance Switzerland (ECS)
- Regular publications and appearances at specialist events in the fields of dispute resolution, investigations and compliance

Languages

German, English, French

Publications & Presentations

Business Crime 2026 in: ICLG	François Micheli Cristina Ess Roman Huber Nadja Majid	2026
Anwaltsgeheimnis bei internen Untersuchungen: Analyse und Auswirkungen der aktuellen Rechtslage (Workshop) 6. Jahrestagung Netzwerk Privatklägerschaft, Zürich, 21. August 2026	Roman Huber	2026
Anti-Corruption 2026 - Switerland: Law and Practice in: Chambers Global Practice Guides	François Micheli Roman Huber Cristina Ess Lea Ruckstuhl	2026
Corporate Investigations 2026 in: ICLG	Michael Daphinoff Daniel Lengauer Roman Huber Cristina Ess	2026
Business Crime 2025 in: ICLG	François Micheli Cristina Ess Roman Huber	2025
Sustainable Finance in Switzerland: Digital Book	Jean-Luc Chenaux, Christophe Rapin, Thomas Bauer, Nicolas Mosimann, Shima Gennari, Roman Aus der Au, Christoph Bauer, Luca Bianchi, Armin Kühne, Daniel Lengauer, Karim Maizar, Sarah Mostafa, Dominik Oberholzer, Ines Pöschel, Isabelle Romy, Lea Ruckstuhl, Reto Schumacher, Jessica Sommer, Cornelia Stengel, Denise Wohlwend, Roman Huber, Manuel Brogli, Gaspare Loderer	2025
Challenges and Strategies for a Company in White Collar Crime and Regulatory Investigations Board Essentials 2024/2025 – Swiss Board Member Education (SIX Swiss Exchange / Institute for Law and Economics, Universität St.Gallen)	Roman Huber	2025

Corporate Investigations 2025 in: ICLG	Michael Daphinoff Daniel Lengauer Roman Huber Cristina Ess	2025
Sustainable Finance in Switzerland – Adoption of a New Paradigm through Regulatory Change and Innovation	Roman Aus der Au, Christoph Bauer, Thomas Bauer, Luca Bianchi, Manuel Brogli, Jean-Luc Chenaux, Shima Gennari, Roman Huber, Barbara Fritschi, Armin Kühne, Daniel Lengauer, Gaspare Loderer, Karim Maizar, Nicolas Mosimann, Sarah Mostafa, Dominik Oberholzer, Ines Pöschel, Christophe Rapin, Isabelle Romy, Lea Ruckstuhl, Reto Schumacher, Jessica Sommer, Cornelia Stengel, Denise Wohlwend	2024
Keine Anwendung strafprozessualer Regeln bei internen Untersuchungen des Arbeitgebers, Besprechung von Bger, 4A_368/2023 in: AJP/PJA, 504 ff.	Roman Huber	2024
Anti-Corruption 2024 - Switzerland: Law & Practice in: Chambers Global Practice Guides	Florian Baumann Lea Ruckstuhl Roman Huber Cristina Ess	2024
The Rise of ESG Litigation and ESG Regulatory Investigations in: NICG Magazin “Board Dynamics”, 16 ff.	Roman Huber	2024
Business Crime Laws and Regulations Switzerland 2024 in: ICLG	Florian Baumann Roman Huber Cristina Ess	2024
Anti-Corruption 2025 - Switzerland: Law & Practice in: Chambers Global Practice Guides	Florian Baumann Lea Ruckstuhl Roman Huber Cristina Ess	2024
Das Verweigerungsrecht im Zusammenhang mit der Tätigkeit eines unternehmensinternen Rechtsdienstes im Zivilprozess (Art. 167a nZPO) in: SJZ, 603-614	Roman Huber	2023

Interessenkonflikte im Fokus von internen Untersuchungen - Herausforderungen in der Praxis und "Lessons Learned" in: Recht relevant. Für Compliance Officer, 8 ff	Roman Huber Claudia Götz Staehelin	2023
Interessenskonflikte bei Compliance Untersuchungen - Erfahrungen aus der Unternehmenspraxis Neue Zürcher Compliance-Konferenz, Park Hyatt Zürich	Roman Huber Claudia Götz Staehelin	2023
Corporate Investigations Laws and Regulations Switzerland 2023 in: ICLG	Claudia Götz Staehelin Florian Baumann Marlen Schultze Roman Huber	2023
Business Crime Laws and Regulations Switzerland 2023 in: ICLG	Florian Baumann Claudia Götz Staehelin Roman Huber Marlen Schultze	2022
Das Anwaltsgeheimnis bei internen Untersuchungen in: Pikó/ Uhl/ Licci (Hrsg.), Handbuch Corporate Compliance; Basel	Roman Huber	2022
Amtshilfe nach Art. 42 f. FINMAG – Unter Berücksichtigung des DSG und Entwicklungen in der Steueramtshilfe	Roman Huber Dominik Leimgruber	2020
Interne Untersuchungen und Anwaltsgeheimnis Schulthess Forum für Wirtschaftsstrafrecht, Interne Untersuchungen und Anwaltsgeheimnnis	Roman Huber	2020
Revised PILA: setting the ground for trust arbitration in Switzerland?	Roman Huber Werner Jahnel	2019
Interne Untersuchungen und Anwaltsgeheimnis – Entwicklungen und Eckpunkte einer "Best Practice" für Unternehmen in: GesKR, S. 65-82	Roman Huber	2019
Grenzüberschreitende Kooperation: Stolpersteine, Fallstricke und Lösungen	Roman Huber	2018
BGer 4A_141/2017: Sachliche Zuständigkeit des Handelsgerichts bei konnexer Widerklage und Rücktrittsfragen bei gescheitertem IT-Projekt in: AJP/PJA, 1521 ff	Roman Huber	2017

Finanzkriminalität – Wie intern sind interne Untersuchungsberichte? in: Handelszeitung, Nr. 16	Roman Huber Andreas D. Länzlinger	2017
Strafrechtliche Verantwortung des Unternehmens und Geschäftsherrenhaftung aus Sicht des Compliance Officers School of Management and Law (zhaw), CAS Compliance Investigator	Roman Huber	2017
Korruptions-Strafrecht – Die Verschärfung im Juli setzt Unternehmen unter Zugzwang in: Handelszeitung, Nr. 22, S. 23	Roman Huber Andreas D. Länzlinger	2016
Switzerland: Private sector bribery in: International Financial Law Review (IFLR)	Roman Huber Andreas D. Länzlinger Beda Kaufmann	2016
Trust Arbitration in Switzerland in: Arbitration of Internal Trust Disputes: Issues in National and International Law, S.I. Strong and A. Molloy (eds.), Oxford University Press	Roman Huber Tina Wüstemann	2016
Gerichtsstands- und Schiedsgerichtswahl in trustrechtlichen Angelegenheiten – Unter besonderer Berücksichtigung der schweizerischen Rechtslage Dissertation, Universität Zürich	Roman Huber	2013
Rechtliche Rahmenbedingungen für NPO in der Schweiz in: Helmig/ Lichtsteiner/ Gmür (Hrsg.), Der Dritte Sektor der Schweiz, Länderstudie zum Johns Hopkins Comparative Nonprofit Sector Project (CNP), Bern, S. 99-143	Roman Huber Dominique Jakob	2010
Non Profit Law in Switzerland – Working Papers of the Johns Hopkins Comparative Nonprofit Sector Project WP-47, Baltimore	Roman Huber Dominique Jakob Katharina Rauber	2009