



Luca Bianchi

Attorney at Law, Partner, lic. iur., LL.M., MBA, MAS UZH in Finance

Luca Bianchi advises clients in the areas of capital markets, banking, finance & fintech, regulatory and corporate/M&A. Luca is recommended by Chambers, Legal500, Who's Who Legal, IFLR1000 and other legal directories.

About

Luca Bianchi advises clients in the areas of capital markets, banking, finance & fintech, regulatory and corporate/M&A. His practice focuses on capital market transactions and the regulation of financial services, financial institutions and financial market infrastructures. He specializes in complex issues (e.g. equity and debt capital markets, securitization transactions), financial products (e.g. structured products, ETPs, AMCs, CLNs) and collective investment schemes (e.g. ETFs and investment foundations). He also assists financial institutions in obtaining FINMA approvals. Luca regularly advises Swiss and international clients (especially listed companies) on the handling of key strategic issues. He also focuses on digital banks and fintech companies as well as innovative digital products. In addition, he advises regulated financial institutions on AMLA matters, internal investigations and related proceedings. Luca is recommended by Chambers, Legal500, Who's Who Legal, IFLR1000 and other legal directories.

Contact

Tel. +41 58 200 39 56

Fax +41 58 200 39 11

luca.bianchi@kellerhals-carrard.ch

Assistance

Luca Bianchi — Kellerhals Carrard

Corinne Roost

+41 58 200 39 29

corinne.roost@kellerhals-carrard.ch

Languages

- German
- English
- French
- Italian

Expertise

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Banking, Finance and Fintech

Corporate and Business Law / M&A

Capital Market & Listed Companies

Criminal law, legal assistance, internal investigations, crisis management and compliance

Sectors

Financial Services and Insurance

Venture Capital and Private Equity

Ranking & Awards

- Luca Bianchi is praised for his commercial approach to investment fund requirements and his excellent knowledge of financial and capital markets. (Who's Who Legal 2023)
- "In the capital markets area, KC has profound specialists that provide added value to their clients. KC is determined to develop new collaboration models, always putting the client at the centre and becoming a more diverse organisation." (Legal500 2023 - Capital Markets)
- "In comparison to other large law firms, with which we also work regularly, it became apparent that KC always consulted the original problem and envisaged solutions in their advice and did not open up secondary topics. Luca Bianchi is characterised by a very high level of commitment and is always available." (Legal500 2023 - Capital Markets)
- "The team has highly specialised members in all fields with great client focus, superb collaboration, and a highly personalised and professional service level." (Legal500 2023 - Capital Markets)
- "He is very strong and analytical. For complex out-of-the-box new problems you go to him." (Chambers Global 2023 - Investment Funds)
- "Luca Bianchi is characterised by a very high level of commitment and is always available. In addition to his profound knowledge in his areas of expertise, he has the ability to think out of the box and is thus able to develop innovative, creative and, as a result, always constructive approaches to solutions. Luca Bianchi is very structured in his approach and works precisely and always with the client's goal in mind, without unnecessarily inflating a mandate." (Legal 500 2022 – Banking and Finance)
- Luca Bianchi is a recognised figure in the market. He acts for asset managers on regulatory questions as well as the structuring of funds. A client notes that he "is very fast and gives very commercial advice." (Chambers Europe 2022 – Investment Funds)
- "Luca Bianchi is "vastly knowledgeable and efficient", and excels in the listing, structuring and distribution of investment funds." (Who's Who Legal 2020 – Investment Management)
- "Luca Bianchi assists with domestic and cross-border mandates, including the set-up, licensing and launch of asset management groups and

investment foundations.” (Chambers Europe 2019 – Investment Funds)

- “Luca Bianchi is highly praised for his work in the field. Peers remark that he is “very knowledgeable”, particularly when it comes to alternative investments and the distribution of investment funds.” (Who’s Who Legal 2019 – Investment Management)
- “Luca Bianchi is a highly regarded lawyer who receives praise for his work advising fund management companies on structuring and distribution matters.” (Who’s Who Legal 2018 – Investment Management)
- “Luca Bianchi earns praise for his “excellent expertise”.” (Chambers Europe 2018 – Investment Funds)
- One client says that Luca Bianchi is “very efficient,” noting: “We were after speed and he delivered that.” (Chambers Europe 2017 – Investment Funds)

Career

- Since 2021: Partner, Kellerhals Carrard
- 2016: Senior associate, Niederer Kraft Frey
- 2012: Associate, Niederer Kraft Frey
- 2009: Trainee attorney, Niederer Kraft Frey
- 2008: Internship at Lehman Brothers, Zurich (equity derivatives sales)
- 2007: Internship, Niederer Kraft Frey
- 2005: Internship at the Public Prosecutor’s Office in Zurich

Memberships

- Swiss Bar Association (SAV)
- Zurich Bar Association (ZAV)
- International Bar Association (IBA)
- Asset Management Association Switzerland (AMAS)

Education

Luca Bianchi — Kellerhals Carrard

- 2018: Master of Business Administration (MBA), University of Hong Kong, China / Columbia Business School, New York, USA
- 2017: Master of Laws (LL.M.), Northwestern University Pritzker School of Law, Chicago, USA
- 2017: Certificate in Business Administration (CBA), IE Business School, Madrid, Spain
- 2015: Master of Advanced Studies UZH in Finance, University of Zurich
- 2012: Admitted to the bar
- 2009: lic. iur., University of Zurich
- 2006: Erasmus exchange year, University La Sapienza, Rome, Italy

Further Activities

- Recognized Representative of SIX Swiss Exchange

Conferences

- Friends-of-Funds: Situationsanalyse «Asset Management Schweiz»: Stärken, Schwächen, Herausforderungen
- Krypto Hedge Funds und Trading in der Praxis
EIZ-Seminar: Blockchain-Recht II, Zürich, 29.08.2023
- Investmentgesellschaften als Anlagevehikel
EIZ-Seminar: Aktuelles zum Kollektivanlagenrecht X, Zürich, 10.05.2023
- Exit Solutions - Recent Developments
19th SECA Conference – Excellence in Europe, Zürich, 08.02.2023
- IPOs von Anlagevehikeln
KC Klientenanlass, Hotel Widder, Zürich, 01.11.2022 / 03.11.2022
- ESG-Finanzierungsinstrumente: the next big thing?
7. FIDLEG-Symposium des Universitären Forschungsschwerpunkts (UFSP) Finanzmarktregulierung
UZH, Zürich, 25.10.2022
- Anlagevehikel für Private Equity Anlagen
EIZ-Seminar: Aktuelles zum Kollektivanlagenrecht IX, Zürich, 12.05.2022
- Tokenisierung von alternativen Anlagen unter dem FIDLEG
EIZ-Seminar: Blockchain-Recht I, Zürich, 10.03.2022
- Digitale Vertriebsplattformen für Finanzprodukte unter dem FIDLEG
6. FIDLEG-Symposium des Universitären Forschungsschwerpunkts (UFSP) Finanzmarktregulierung
UZH, Zürich, 03.12.2021
- Die Lancierung von aktiv-verwalteten Zertifikaten
3. FIDLEG-Symposium des Universitären Forschungsschwerpunkts (UFSP) Finanzmarktregulierung
UZH, 09.11.2021
- Blockchain Recht: Die neue DLT-Gesetzgebung in der Praxis
EIZ-Vortrag am Mittag Spezial, Zürich, 13.08.2021
- Zertifikate als Alternative zu kollektiven Kapitalanlagen
EIZ-Seminar: Aktuelles zum Kollektivanlagenrecht VIII, Zürich, 20.05.2021

- Konvergenz von innovativen Finanzprodukten – Same same, but different?
5. FIDLEG-Symposium des Universitären Forschungsschwerpunkts (UFSP) Finanzmarktregulierung
UZH, Zürich, 20.10.2020
- Rechtliche Fallstricke des Online-Tradings
Universität St. Gallen, Zürich, 30.01.2020
- Advisory and Execution Only Mandates
Academy & Finance Event, Zürich, 11.12.2019
- Verhaltensregeln beim Erbringen von Finanzdienstleistungen
SJWZ, Neuorganisation des Schweizer Finanzmarktrechts durch FIDLEG und FINIG, Zürich,
10.09.2019
- Rechtliche Rahmenbedingungen für digitale Assets
Präsentation am SFTA-FinTech Event, Zürich, 09.05.2019
- Das Basisinformationsblatt für Finanzprodukte
4. FIDLEG-Symposium des Universitären Forschungsschwerpunkts (UFSP) Finanzmarktregulierung
UZH, Zürich, 29.01.2019
- Die Regulierung von FinTech (Startups)
FinTech-Start-ups: (Rechtliche) Perspektiven für die Finanzdienstleistungen der Zukunft, Zürich,
10.11.2016
- Die Regulierung von strukturierten Produkten
3. FIDLEG-Symposium des Universitären Forschungsschwerpunkts (UFSP) Finanzmarktregulierung
UZH, Zürich, 09.11. 2016

Further publications

- A Primer on Actively Managed Certificates
AMC Whitepaper, Zürich, 18.08.2023
- Rezension: «Fintech & DLT – Privat- und finanzmarktrechtliche Grundlagen in der Schweiz»
Jusletter, 27.02.2023

- Initial Public Offering von Anlagevehikeln
iusNet, Bank- und Kapitalmarktrecht, 23.02.2023
- ESG im Finanzsektor
Newsletter "Kurz & Bündig" 1/2023, 1 - 11
- Sicherung von strukturierten Produkten nach Art. 70 Abs. 2 FIDLEG
SZW 1/2023, 60 - 68
- IPOs von Anlagevehikeln
Newsletter "Kurz & Bündig" 11/2022, 1 - 13
- Switzerland: An Introduction to Investment Funds Law – Current Trends in Swiss Investment Fund Markets
in: Chambers and Partners Investments Funds – Europe 2022
- Die neue DLT-Gesetzgebung eröffnet Chancen für Innovationen im Finanzmarktrecht
Fokus Rechtsguide im Tagesanzeiger vom 04.11.2021
- Die Absonderung des Fondsvermögens nach Art. 40 FINIG
Jusletter, 18.01.2021, 1 - 8
- Geldwäscherei und Terrorismusfinanzierung
in: Kuhn/Weber; Hrsg. Entwicklung im Schweizer Blockchain-Recht, Zürich, 2021, 225 - 250
- Alternative Funds 2021, Switzerland: Trends and Developments
Chambers Global Practice Guides, 2021, 1 - 5
- Ausgewählte rechtliche Aspekte von CSR, ESG und Sustainability
Newsletter "Kurz & Bündig" 2/2021, 1 - 2
- Private equity in Switzerland: market and regulatory overview
Global Guide 2020, Thomson Reuters, 1 - 14; Global Guide 2018, Thomson Reuters, 1 - 14; Global Guide 2017, Thomson Reuters, 1 - 14; Global Guide 2015, Thomson Reuters, 1 - 12.
- Debt Capital Markets in Switzerland
Getting the Deal Through – Debt Capital Markets 2020, Law Business Research Ltd, 2020, 1 - 6; Getting the Deal Through – Debt Capital Markets 2019, Law Business Research Ltd, 2019, 53 - 59; Getting the Deal Through – Debt Capital Markets 2018, Law Business Research Ltd, 2018, 65 - 71; Getting the Deal Through – Debt Capital Markets 2017, Law Business Research Ltd, 2017, 82 - 89; Getting the Deal

Through – Debt Capital Markets 2016, Law Business Research Ltd, 2016, 100 - 106; Getting the Deal

Through – Debt Capital Markets 2015, Law Business Research Ltd, 2015, 96 - 102; Getting the Deal

Through – Debt Capital Markets 2014, Law Business Research Ltd, 2014, 96 - 103.

— An Introduction to the New Rules for Digital Assets

CapLaw 1/2020, 2 - 7

— Switzerland's New Financial Market Architecture

3 ed., 2019, 1 - 159, 2 ed., 2016, 1 - 146, 1 ed., 2014, 1 - 91

— Digital Assets – Proposed Amendments to the Legal and Regulatory Framework of Distributed Ledger Technology in Switzerland

CapLaw 2/2019, 21 - 27

— Countdown at the Point of Sale – Final Version of Financial Services Ordinance Published

CapLaw 5/2019, 10 - 15

— New Limited Qualified Investor Fund (L-QIF) – Innovation and Deregulation as Growth Catalyst for the Fund and Asset Management Industry in Switzerland

CapLaw 4/2019, 17 - 21

— Point of Sale Regulation – Consultation Draft of Financial Services Ordinance

CapLaw 5/2018, 17 - 21

— Liberalization of the Point of Sale – Amendments to the FIDLEG Bill's Point of Sale Duties Proposed by the Council of States

CapLaw 1/2017, 20 - 25

— Regulierung indirekter Immobilienanlagen

GesKR 2/2017, 152 - 171

— FinTech Regulation 2.0: An Overview on the Proposed Three Element Solution

CapLaw 1/2017, 10 - 19

— RdF-Länderreport Schweiz: Aktuelle Entwicklungen im Aufsichts-, Zivil-, Bilanz- und Steuerrecht für den Kapitalmarkt

RdF 1/2016, 66 - 70

— Regulation of the Point of Sale – An Update on the Rules of Conduct of Financial Services Providers under the proposed FIDLEG

CapLaw 1/2016, 17 - 21

- Die neuen regulatorischen Rahmenbedingungen von strukturierten Produkten

GesKR 1/2016, 61 - 79

- The Regulation of FinTech (Startups)

CapLaw 4/2016, 2 - 5

- Neue Finanzmarkt-Architektur: Auswirkungen auf das Fonds- und Asset Management-Geschäft

B2B Schweizer Magazin für kollektive und strukturierte Investments 6/2015, 11 - 13

- The Rise of Actively Managed Certificates

CapLaw 2/2015, 23 - 26

- The Launch of the Real Estate Investment Foundation

CapLaw 4/2015, 2 - 6

- RdF-Länderreport Schweiz: Aktuelle Entwicklungen im Aufsichts-, Zivil-, Bilanz- und Steuerrecht für den Kapitalmarkt

RdF 1/2014, 70 - 74

- Proposed Regulatory Framework for Financial Products in Switzerland

CapLaw 1/2014, 18 - 23

Zurich

Rämistrasse 5
Postfach
CH-8024 Zürich

Tel. +41 58 200 39 00
Fax +41 58 200 39 11
info@kellerhals-carrard.ch

Contact

Luca Bianchi
Tel. +41 58 200 39 56
Fax +41 58 200 39 11
luca.bianchi@kellerhals-carrard.ch