

Dr. Frédéric Rochat

Attorney at Law, LL.M. (Columbia ‘04), Managing Partner Lausanne/Sion

Contact
Lausanne Office
Tel. +41 58 200 33 12
frederic.rochat@kellerhals-carrard.ch

Assistance
Marianne Lavanchy
+41 58 200 33 59
marianne.lavanchy@kellerhals-carrard.ch

Kellerhals Carrard Lausanne
Place Saint-François 1
P.O. Box
1001 Lausanne

A seasoned lawyer and negotiator with a wealth of professional experience abroad, he is our clients' trusted advisor on business law issues and M&A transactions.

Frédéric Rochat began his career as a business attorney with a leading law firm in New York and London. Since then, he has specialized in medium-sized M&A transactions, particularly in the financial, technology, and real estate sectors.

He also advises companies and investors on financing transactions and was appointed by the FINMA as co-liquidator in the bankruptcy of Banque Privée Espírito Santo.

His clients appreciate his personal involvement and his pragmatic approach to contract negotiation.

Expertise

Banking, Finance and Fintech

White-collar crime, mutual legal assistance, internal investigations, crisis management, and compliance

Company & Corporate Law / M&A

Insolvency and Restructuring

Capital markets and listed companies

Startup & Venture Capital Desk

Board of Directors

- Fondation EuroVacc, Lausanne (chairman)
- Fondation Ecole de Jazz et de Musique Actuelle de Lausanne (EJMA), Lausanne (chairman)
- Prisminvest SA, Morges (vice chairman)
- Chambre suisse des experts en transmission d'entreprises / Swiss M&A Experts Chambers (chairman)
- Carrard Consulting SA, Lausanne (vice-chairman)
- Seed-Up SA, Lausanne (board member)
- Fondation Health Sciences e-Teaching, Lausanne (board member)
- S.I. EJMA LAUSANNE SA, Lausanne (board member)
- Fondation Georges et Jeannine Piguet (board member)
- Fondation Jan Baron Mladota (chairman)

Career

- Since September 2015
  Partner at Kellerhals Carrard Lausanne/Sion SA
- 2007–2015
  Partner at Carrard & Associés, Lausanne
- 2004–2007
  Associate at Simpson Thacher & Bartlett LLP, in New York and London
- 2000–2003
  Trainee attorney, then associate attorney at a business law firm in Lausanne

Memberships

- Swiss Bar Association (SAV/FSA)
- Vaud Bar Association (OAV)

Education

- 2005
  Admitted to the New York Bar
- 2004
  LL.M. from Columbia University School of Law, New York, James Kent Scholar
- 2002
  Admitted to the Bar of the Canton of Vaud
- 2002
  Doctorate in Law from the University of Lausanne, Edouard Fleuret Foundation award and Walther-Hug Foundation award
- 1996
  Law degree from the Universities of Lausanne and Bern, Faculty award

Other Activities

- Teaches business and corporate law at EPFL, at Expertsuisse, as well as in other institutions
- Authorized representative at SIX Swiss Exchange for the submission of listing applications (bonds and equity securities)

Languages

French, German, English

Publications & Presentations

Management Buy-Out - une solution pour pérenniser les PME suisses? in: EXPERT Focus (à paraître)	Frédéric Rochat	2022
Protection of the investor in financing rounds: selected issues in: 8. Tagung zu Private Equity: A wonderful world: Neue Möglichkeiten, neues Recht, neue Herausforderungen (à paraître)	Frédéric Rochat	2022
La protection de l'investisseur dans les tours de financement : questions choisies	Frédéric Rochat	2022
Le droit à l'information du prêteur in: CEDIDAC (à paraître), Le financement des entreprises	Frédéric Rochat	2022
Principaux enjeux juridiques et économiques des garanties négociées lors d'une vente d'actions Newsletter #6, octobre 2021, Chambre suisse des experts en transmission d'entreprises	Frédéric Rochat	2021
Earn-out et Covid-19 : un outil de gestion du risque transactionnel plébiscité par la crise sanitaire Newsletter #4, avril 2021, Chambre suisse des experts en transmission d'entreprises	Frédéric Rochat	2021
Due Diligence juridique: questions actuelles dans les transactions M&A Newsletter #2, avril 2021, Chambre suisse des experts en transmission d'entreprises	Frédéric Rochat	2020
Le représentant de l'Etat au conseil d'administration in: Weber/Stoffel/Chenaux/Sethe, Aktuelle Herausforderungen des Gesellschafts- und Finanzmarktrechts, FS H.-C. von der Crone, Zurich/Bâle/Genève, 39 ss	Frédéric Rochat	2017